

INSTRUCTIONS: Unless e-Filed using the Agency's website, www.nlr.gov/, submit an original of this Petition to an NLRB office in the Region in which the employer concerned is located. The petition must be accompanied by both a showing of interest (see 6b below) and a certificate of service showing service on the employer and all other parties named in the petition of: (1) the petition; (2) Statement of Position form (Form NLRB-505); and (3) Description of Representation Case Procedures (Form NLRB 4812). The showing of interest should only be filed with the NLRB and should not be served on the employer or any other party.

1. PURPOSE OF THIS PETITION: RC-CERTIFICATION OF REPRESENTATIVE - A substantial number of employees wish to be represented for purposes of collective bargaining by Petitioner and Petitioner desires to be certified as representative of the employees. **The Petitioner alleges that the following circumstances exist and requests that the National Labor Relations Board proceed under its proper authority pursuant to Section 9 of the National Labor Relations Act.**

2a. Name of Employer: California Institute of Technology	2b. Address(es) of Establishment(s) involved (Street and number, City, State, ZIP code): 1200 East California Blvd., Pasadena, California 91125
--------------------------------------------------------------------	-----------------------------------------------------------------------------------------------------------------------------------------------------------

3a. Employer Representative - Name and Title: President Thomas F. Rosenbaum	3b. Address (if same as 2b - state same): Office of the President, Parsons-Gates, Mail-Code 204-31 Caltech, Pasadena CA 91125-3100
---------------------------------------------------------------------------------------	-------------------------------------------------------------------------------------------------------------------------------------------------

3c. Tel. No. (626) 395-6301	3d. Cell No. N/A	3e. Fax No. (626) 449-9374	3f. E-Mail Address president@caltech.edu
---------------------------------------	----------------------------	--------------------------------------	----------------------------------------------------

4a. Type of Establishment (Factory, mine, wholesaler, etc.) University	4b. Principal Product or Service Education & Research	5a. City and State where unit is located: Los Angeles, California
----------------------------------------------------------------------------------	-----------------------------------------------------------------	-----------------------------------------------------------------------------

5b. Description of Unit Involved: Included: See attached. Excluded: See attached.	6a. Number of Employees in Unit: 2,050 6b. Do a substantial number (30% or more) of the employees in the unit wish to be represented by the Petitioner? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
--------------------------------------------------------------------------------------------------------------------	-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

Check One: 7a. Request for recognition as Bargaining Representative was made on (Date) 11/30/23 and Employer declined recognition on or about (Date) No Reply (If no reply received, so state).
 7b. Petitioner is currently recognized as Bargaining Representative and desires certification under the Act.

8a. Name of Recognized or Certified Bargaining Agent (If none, so state) None	8b. Address:
-----------------------------------------------------------------------------------------	---------------------

8c. Tel. No.	8d. Cell No.	8e. Fax No.	8f. E-Mail Address
---------------------	---------------------	--------------------	---------------------------

8g. Affiliation, if any:	8h. Date of Recognition or Certification	8i. Expiration Date of Current or Most Recent Contract, if any (Month, Day, Year)
---------------------------------	-------------------------------------------------	------------------------------------------------------------------------------------------

9. Is there now a strike or picketing at the Employer's establishment(s) involved? No If so, approximately how many employees are participating? _____ (Name of Labor Organization) _____, has picketed the Employer since (Month, Day, Year) _____

10. Organizations or individuals other than Petitioner and those named in items 8 and 9, which have claimed recognition as representatives and other organizations and individuals known to have a representative interest in any employees in the unit described in item 5b above. (If none, so state)
None.

10a. Name	10b. Address	10c. Tel. No.	10d. Cell No.
		10e. Fax No.	10f. E-Mail Address

11. Election Details: If the NLRB conducts and election in this matter, state your position with respect to any such election: _____ **11a. Election Type:** Manual Mail Mixed Manual/Mail

11b. Election Date(s): 1/24/24-1/25/24 (2 day election)	11c. Election Time(s): 9:00 a.m. - 5:00 p.m.	11d. Election Location(s): See attached.
-------------------------------------------------------------------	--------------------------------------------------------	----------------------------------------------------

12a. Full Name of Petitioner (including local name and number): Caltech Grad Researchers and Postdocs United	12b. Address (street and number, city, State and ZIP code): 6500 S. Rosemead Blvd., Pico Rivera, CA 90660
------------------------------------------------------------------------------------------------------------------------	---------------------------------------------------------------------------------------------------------------------


12c. Full name of national or international labor organization of which Petitioner is an affiliate or constituent (if none, so state):
The International Union, United Automobile, Aerospace & Agricultural Implement Workers of America (UAW)

12d. Tel. No. (562) 801-1500	12e. Cell No. (571) 643-6241	12f. Fax No. (562) 801-1555	12g. E-Mail Address rackermann@uaw.net
----------------------------------------	----------------------------------------	---------------------------------------	--------------------------------------------------

13. Representative of the Petitioner who will accept service of all papers for purposes of the representation proceeding.	
13a. Name and Title: Robert Ackermann, International Representative	13b. Address (street and number, city, State and ZIP code): UAW, Region 6 6500 S. Rosemead Blvd., Pico Rivera, CA 90660

13c. Tel. No. (562) 801-1500	13d. Cell No. (571) 643-6241	13e. Fax No. (562) 801-1555	13f. E-Mail Address rackermann@uaw.net
----------------------------------------	----------------------------------------	---------------------------------------	--------------------------------------------------

I declare that I have read the above petition and that the statements are true to the best of my knowledge and belief.

Name (Print) Robert Ackermann	Signature 	Title International Representative	Date 11/30/23
----------------------------------	--------------------------------------------------------------------------------------------------	---------------------------------------	------------------

**WILLFUL FALSE STATEMENTS ON THIS PETITION CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT**

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing representation and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information may cause the NLRB to decline to invoke its processes.

**ATTACHMENT A
TO
RC PETITION
*Re: NLRB Case No. Unassigned***

5b. Description of Unit Involved:

Included:

All graduate students and postdoctoral scholars employed by the California Institute of Technology (“Caltech”) in teaching-related jobs, including Graduate Teaching Assistants and Postdoctoral Teaching Fellows, and all graduate students and postdoctoral scholars employed by Caltech in research-related jobs, including Graduate Research Assistants, Postdoctoral Scholar Research Associates, and Postdoctoral Scholar Fellowship Trainees (regardless of funding sources and those compensated through fellowships and/or training grants).

Excluded:

All other employees, guards, and supervisors as defined in the Act.

11d. Election Location(s):

Hameetman Multipurpose Room - Room 220 (Hameetman Center).